

2019 NAFCU BSA SEMINAR

August 11 – 14, 2019
Minneapolis, MN

MASTER YOUR BSA/AML RESPONSIBILITIES + EARN OR RENEW YOUR BSA CERTIFICATION!

Save \$200 by registering with
code **BSASAVINGS** by June 14

nafcubank.com/masterbsa
800.344.5580

*Join us—you don't have to be a
NAFCU member!*



MASTER BSA

Better identify and mitigate money laundering activities and other BSA requirements, with sessions built to help you stay on top of what's happening and keep your credit union safe. Plus, you'll have the opportunity to boost your career by earning the prestigious NAFCU Certified Bank Secrecy Officer (NCBSO) designation!

Earning your NCBSO means you've proven that you thoroughly understand BSA methodology and money laundering threats. The quality is second-to-none for helping you maintain critical knowledge.

WHO SHOULD ATTEND

- › Employees responsible for BSA and AML
- › Compliance officers

KEY TAKEAWAYS

- › Join an elite group of professionals by earning your prestigious NAFCU Certified Bank Secrecy Officer (NCBSO) designation—or recertify just by attending
- › Walk away with new tips and tools on how to efficiently coordinate and monitor day-to-day BSA/AML compliance
- › Learn from real-world examples on how to better identify and navigate BSA/AML risks, such as identifying human trafficking, mitigating vendor management risk, and training different employee groups on the importance of BSA
- › Build and strengthen your credit union's formal BSA program
- › Network with NAFCU staff and BSA and compliance professionals from around the nation

YOUR NAFCU CERTIFIED BANK SECRECY OFFICER (NCBSO) EXAM



Show you're prepared to deal with the unique threats facing credit unions. Earn your NCBSO designation! It can only be earned at BSA Seminar, by taking the optional exam on the final day. A passing grade is 76% correct (38/50). **We highly recommend you attend the optional pre-conference workshop.** If you're taking the exam without attending the workshop, you'll need to pre-study the information covered on your own. Extra fee required for exam.

For full NCBSO details, visit nafcubank.org/masterbsaexam

AGENDA

Sunday, August 11

- 12:00 p.m. - 4:30 p.m. › Early Registration
- 1:00 p.m. - 4:00 p.m. › Optional Pre-Conference Workshop: 5 Pillars of BSA/AML Compliance - A 101 Level Overview*^

Monday, August 12

Sessions start at 8:30 a.m. and end at 3:45 p.m.

- 7:30 a.m. - 8:30 a.m. › Networking Breakfast and Registration
- › Welcome Address
- › Reporting Requirements for Credit Unions: CTRs and SARs
- › SARs and CTRs Deep Dive - Are We Doing it Right?
- › Transaction Monitoring and Reporting
- › OFAC for Compliance Professionals
- › Networking Lunch
- › Vendor Management: What is the NCUA Looking For?
- › Cultivating a Values-Based Culture of BSA Compliance
- › BSA Examinations and Findings: Tips for Discussing and Resolving Issues
- 5:00 p.m. - 6:30 p.m. › Networking Reception

Tuesday, August 13

Sessions start at 8:30 a.m. and end at 4:15 p.m.

- › Networking Breakfast
- › High Risk Accounts: When to Act and What to Do
- › BSA Requirements for Business Accounts
- › Handling Information Requests
- › Networking Lunch
- › Identifying and Acting Upon Elder Financial Abuse
- › Training Specific Staff: Ensuring BSA Compliance in Different Roles Across the Credit Union
- › FinCEN Update
- 4:15 p.m. - 5:00 p.m. › Study Hall (optional)

Wednesday, August 14

Sessions start at 8:30 a.m. and end at 3:00 p.m.

- 7:00 a.m. - 8:30 a.m. › Networking Breakfast
- › Behind the Scenes of FBI Crime Enforcement
- › Panel: Marijuana Businesses Rewards and Risks
- › BSA Cyber Policies
- › Networking Lunch
- › Human Trafficking: Actions to Watch Out For
- › Panel: Law Enforcement Leaders Speak
- 3:00 p.m. - 3:45 p.m. › Study Hall (optional)
- 4:00 p.m. - 5:00 p.m. › NAFCU Certified Bank Secrecy Officer Exam* (optional)

*Additional fees apply

^Attendees seeking the NCBSO certification should attend this session.

If you decide to take the exam and not attend this session, you will need to pre-study.

Note: Program schedule above is tentative and may change slightly as the program is being developed.

Visit nafcu.org/masterbsaagenda for the latest agenda details.

SESSION HIGHLIGHTS

Optional Pre-Conference Workshop: 5 Pillars of BSA/AML Compliance - A 101 Level Overview*^

In this basic level overview, you'll review the five pillars of BSA, gain insights on current events, and cover the minimum requirements that must coincide with your credit union's written policies, procedures, and processes.

SARs and CTRs Deep Dive - Are We Doing It Right?

If even one step of the prescribed plan to properly report suspicious activity or cash transactions fails, you'll be at risk for potential BSA violations. Learn effective methods to ensure your credit union is fully compliant with well-written SAR narratives and accurate CTRs.

Cultivating a Values-Based Culture of BSA Compliance

FinCEN has identified "a culture of compliance" as a key factor in creating an effective BSA/AML compliance program. This includes engaged leadership, and ensuring compliance is not compromised by revenue interests. Learn how can you establish a culture of compliance at your credit union.

High Risk Accounts: When to Act and What to Do

How do you handle account activity that doesn't align with a risk rating or risk appetite? Determine the key indicators to watch for and how to establish a baseline of normalcy. Requirements for MSBs, ATMs, cash heavy businesses and high intensity drug trafficking areas will also be reviewed.

Identifying and Acting Upon Elder Financial Abuse

Credit unions are well-positioned to spot signs of elder financial abuse, respond when you see it—and may even be obligated to report in some states. Get an overview of resources you can use, and learn core elements for training credit union staff.

BSA Requirements for Business Accounts

Receive an in-depth review of specific issues for business accounts in the areas of: CIP requirements, the CDD rule and beneficial ownership, documentation for verification purposes, and ongoing monitoring.

Panel: Law Enforcement Leaders Speak

Hear typical investigation methods by law enforcement and how they reach out to credit unions to provide guidance. The panel will also address privacy concerns when reporting suspicious activity to law enforcement.

Panel: Marijuana Businesses Rewards and Risks

Learn the legal and policy landscape of marijuana banking, and gain insights on the concerns and challenges for cannabis, hemp and CBD. You'll receive an understanding of the practical application of BSA for program development and ongoing monitoring.

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View all session descriptions at nafcu.org/masterbsaagenda



MARVELOUS MINNEAPOLIS

There's a reason Minneapolis enjoys a prime spot on Travel + Leisure's *America's Favorite Cities* list. The city offers spectacular lakes and parks for nature lovers, plus award-winning dining, a thriving cultural scene, and great shopping. Don't forget: the Mall of America is just a light rail ride away!

CONFERENCE HOTEL: HYATT REGENCY MINNEAPOLIS

Enjoy a prime location while you're at the Hyatt Regency Minneapolis. Whether you want to check out nearby entertainment districts or explore fun things to do in the Twin Cities, the hotel's convenient location on Nicollet Mall puts you in the heart of it all.



Rates start at just \$219/night plus 13.15% tax at the discounted NAFCU rate. Reserve your room by July 20 at nafcubsa.org/masterbsatravel or call 612.370.1234 and reference NAFCU.

REGISTRATION

You don't have to be a NAFCU member to attend.

Save \$200 on your conference registration with code **BSASAVINGS** by June 14

	NAFCU MEMBER	NONMEMBER
Conference	\$2,099	\$3,199
Pre-Conference Workshop	\$199	\$280
Optional NCBSO Exam	\$49	\$69

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National Association of
Federally-Insured Credit Unions
3138 10th Street North
Arlington, VA 22201-2149

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